PTO/SB/08A (10-01)
Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE ons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

2 of Sheet

· Complete if Known			
Application Number	10/829,388		
Filing Date	April 22, 2004		
First Named Inventor	ROSSI et al.		
Group Art Unit	1653		
Examiner Name	Unassigned		
Attorney Docket Number	41133-0006US1		

	 		U.S. PATENT D	OCUMENTS	
Examiner	Cite	Document Number	Publication Data MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant
`Initials *	No.¹	Number - Kind Code ² (if known)		•	Figures Appear
/DB/	A01	US 2002/0006379 A1	1/17/2002	Hansen et al.	
/DB/	A02	US 2003/0162709 A1	8/28/2003	Rossi et al.	
			·		
					·
					<u> </u>
		<u> </u>			
	<u> </u>				
	1			•	
	<u> </u>				· · · · · · · · · · · · · · · · · · ·
	ļ		<u> </u>	· · · · · · · · · · · · · · · · · · ·	
			<u> </u>		

		FOREIGN PA	TENT DOCU	MENTS		
Examiner Initials*	Cite No.	Foreign Patent Document Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
	 					
		·				
*				·		
	1	,				

	THE RESERVE THE PERSON NAMED IN COLUMN TWO		
Examiner Signature	/David Blanchard/	Date Considered	04/25/2007

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional) . ² See Kinds Codes of USPTO Patent Documents at <u>www.uspto.gov</u> or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

Substitute	for form 1449A/PTC	· ·	Complete if Known			
		DIGGL COURT	Application Number	10/829,388		
	•	DISCLOSURE.	Filing Date	April 22, 2004		
STAT	LEWENT B	Y APPLICANT	First Named Inventor	ROSSI et al.	· · · · · · · · · · · · · · · · · · ·	
			Group Art Unit	1653		
	(use as many she	ets as necessary)	Examiner Name	Unassigned		
Shoot	12	of 2	Attorney Docket Number	41133-0006US1		

		OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS	_
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T 2
/DB/	A03	APOLLINA GOEL et al., "Single-Dose versus Fractionated Radioimmunotherapy of Human Colon Carcinoma Xenografts Using I-labeled Multivalent CC49 Single-chain Fvs", Clinical Cancer Research, 2001, pp. 175-184, Vol. 7	
/DB/	A04	H. KARACAY et al., "Pretargeting for Cancer Radioimmunotherapy with Bispecific Antibodies: Role of the Bispecific Antibody's Valency for the Tumor Target Antigen", Bioconjugate Chem., 2002, pp. 1054-1070, Vol. 13	
		·	

Examiner Signature	/David Blanchard/	Date Considered	04/25/2007
-----------------------	-------------------	--------------------	------------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Unique citation designation number. Applicant is to place a check mark here if English language Translation is attached.

PTO/SB/08A: (07-08)

Approved for use through 09/30/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no passons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

SEP 18 2006

INFORMATION DISCLOSU STATEMENT BY APPE

(Use as many sheets as necessary)

Sheet

	Complete if Known	
Application Number	10/829,388; Confirmation 5415	1
Filing Date	4/22/2004	
First Named Inventor	Rossi	
Art Unit	1653	
Examiner Name		
Attorney Docket Number	330261	

			U.S. PATENT	OCUMENTS	
Examiner Initials *	Cite No.1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant
		Number - Kind Code ² (if known)			Passages or Relevant Figures Appear
/DB/	1	US-6,239,259	5/29/2001	Davis-	
/DB/	2	US- 6,121,424	9/19/2000	Whitlow et al.	
		US-	•		
		US-		•	
		US-			
•		US-			
		US-			
		US-			

<u> </u>		FOREIGN PA	TENT DOCU	MENTS		
Examiner Initials*	Cite	Foreign Patent Document	Publication	Name of Patentee or	Pages, Columns, Lines,	
	No.1	Date MM-DD-YYYY	Applicant of Cited	Where Relevant Passages or Relevant Figures Appear	T ⁶	
		,				
	-					
		·				

Examiner Signature	/David Blanchard/	Date 04/25/2007	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (VIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.

Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Approved for use through 09/30/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

Substitute	for form 1449	В/РТО		Complete if Known		
	DB8 A 71	0N DI	SOLOCUPE	Application Number	10/829,388; Confirmation 5415	
			SCLOSURE	Filing Date	4/22/2004	
STAT	LEMEN	TBYA	PPLICANT	First Named Inventor	Rossi	
				Art Unit	1653	
	(Use as mai	ny sheets a	s necessary)	Examiner Name		
Sheet	2	of	2	Attorney Docket Number	330261	

	NON PATENT LITERATURE DOCUMENTS						
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.					
/DB/	3	PLUCKTHUN, ANDREAS, et al., "New Protein Engineering approaches to multivalent and bispecific antibody fragments" Immunotechnology 3 (1997) 83-105					
/DB/	/DB/ 4 KIPRIYANOV, SERGEY M. et al., "Bispecific Tandem Diabody for Tumor Therapy with Improved Antigen Binding and Pharmacokinetics" J. Mol. Biol. (1999) 293, pgs. 41-58						
/DB/	5	Supplementary Partial European Search Report for EP 04 75 0590 dated 8/8/2006 (5 sheets)					
-							
		·					

Examiner	/David Blanchard/	Date	04/25/2007	
Signature '	/Bavia Bianchara/	Considered	04/25/2001	ŀ

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance

and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional).

Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.